



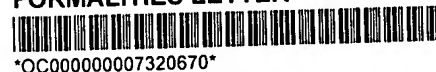
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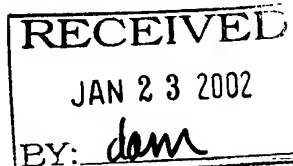
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/016,656	12/12/2001	Keith D. Allen	R-390

CONFIRMATION NO. 3892

FORMALITIES LETTER



OC000000007320670

DELTA GEN, INC.
740 Bay Road
Redwood City, CA 94063

Date Mailed: 01/17/2002

Due: March 17, 2002
Final: August 17, 2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/25/2002 AOSMAN1 00000048 501271 10016656

FILED UNDER 37 CFR 1.53(b)

03 FC:201	370.00 OP
04 FC:202	588.00 OP
05 FC:203	117.00 OP
06 FC:204	140.00 OP
07 FC:205	10.00 OP
55.00 CH	

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$836.
 - \$108 for 12 total claims over 20.
 - \$588 for 14 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1271.

Adjustment date: 05/22/2002 MMARHOL

04/25/2002 HUSMAN1 00000048 10016656
05 FC:203 -117.00 OPA copy of this notice **MUST** be returned with the reply.

05/22/2002 MMARHOL 00000011 10016656

01 FC:203

108.00 OP

Customer Service Center

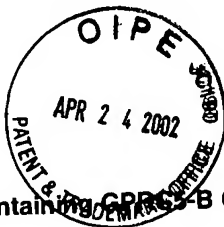
Initial Patent Examination Division (703) 308-1202

Repln. Ref: 05/22/2002 MMARHOL 0008545200 2 - COPY TO BE RETURNED WITH RESPONSE
 DA: 501271 Name/Number: 10016656
 FC: 704 \$9.00 CR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of:

Inventors: **Keith D. Allen et al.**
Appln. No.: **10/016,656**
Filed: **December 21, 2001**
Title: **Transgenic Mice Containing GPR37-B Gene Disruptions**



Order/Docket No. **R-390**
Deposit Account No. **50-1271**
Customer No. **26619**

Date: 16 April 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED							
5. Basic Filing Fee					\$370.00	370.00	201
6. Total Claims		33	minus 20 =	13	x 9.00	117.00	203
7. Independent Claims		17	minus 3 =	14	x 42.00	588.00	202
8. Multiple Dependent Claims					140.00	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.00	65.00	205
10.				FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =		\$ 1280.00	
11. Original due date: March 17, 2002							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	55.00	215
13. If Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed):						= \$ 1375.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

740 Bay Road
Redwood City, CA 94063
Tel (650) 569-5100
Fax (650) 569-5280

Mariette A. Lapiz
MARIETTE A. LAPIZ, Reg. No. 44,202

04/25/2002 ROSMAN1 00000048 501271 10016656
02 FC:215 55.00 OP

I certify that the enclosed documents are being deposited with the U.S. Postal Service as first class mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on 16 April 2002

Deborah A. Mojarro
Deborah A. Mojarro

R-390/MAL

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